| Disclosure of actions taken against MFDs related to misconduct by UTI AMC | |
|---|---|
| **Particulars** | **Inputs to be filled by AMC** |
| 1. Name of the Mutual Fund | UTI Mutual Fund |
| 2. ARN of the MFD | NIL |
| 3. Name of the MFD | NA |
| 4. Name of the employee of the MFD involved | NA |
| 5. EUIN of the employee of the MFD involved | NA |
| 6. Source of the complaint (to be selected from drop down menu) | NA |
| 7. Nature of Mis-Selling observed by the AMC (to be selected from drop down menu) | NA |
| 8. Summary of the incident | No incidence during the quarter January – March 2026. |
| 9. Amount of investment Involved in the incident | NA |
| 10. Corrective Action taken by the AMC prevent recurrence | NA |
| 11. Code of Conduct Violation (other than mis-selling) if any | NA |
| 12. Action taken by the AMC against the errant MFD | NA |